

AGENDA

AUDIT & COMPLIANCE COMMITTEE FRIDAY, MARCH 22, 2013 – 9:00 A.M.

- I. Roll Call.
- II. Minutes of Previous Meeting (12/14/12). ^{A *}
- III. Report of the A&CC Chair.
- IV. Update on the FY 2012 Audit.
- V. Presentation: Deloitte (Discussion of Risk Assessment Engagement Plan)*
- VI. Staff Personal Transaction Reports (12/31/12).
- VII. Commission Recapture Report (12/31/12). ^{A *}
- VIII. Record of Vouchers (October, November and December 2012). ^{A *}
- IX. Ethics Matters:
 - A. Placement Agent Contact Report (12/31/12). ^{A *}
 - B. Ex Parte Communication Disclosure. ^{A *}
 - C. Appointed Board Member Timesheets.
- X. Unfinished Business.
- XI. New Business.
- XII. Next Meeting (06/21/13) and Adjournment.

^A Action Required
^{*} Attachment